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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 2 8 2006

In re United States Patent Application of:

Docket No.:

4197-114

Applicants:

BAUER, Et al.

Conf. No.:

8808

Application No.:

10/088,751

Art Unit:

1734

Date Filed:

July 19, 2002

Examiner:

Mark A. Osele

Title:

PROCESS FOR FORMING

CELLULOSIC SHAPED

BODIES

Customer No.:

23448

FACSIMILE TRANSMISSION CERTIFICATE

ATTN: Examiner Mark A. Osele Fax No. (571) 273-8300

I hereby certify that this document, along with any enclosures identified herein, is being filed in the United States Patent and Trademark Office, via facsimile transmission to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date specified below, to United States Patent and Trademark Office facsimile transmission number (571) 273-8300.

Number of Priges (including cover)

Kate Popr

November 28, 2006

Date

REQUEST FOR INITIALED PTO-1449 FORM FROM INFORMATION DISCLOSURE STATEMENT FILED MAY 24, 2002 IN U.S. PATENT APPLICATION NO. 10/088,751

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

A review of the file of this application subsequent to the issue of September 28, 2006 Notice of Allowance has revealed that the PTO-1449 Form accompanying the Information Disclosure Statement filed on May 21, 2002 had not been initialed by the Examiner.

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For the sake of good order, the Examiner is requested to furnish such initialed form. Enclosed in Appendix A hereof is a copy of the Information Disclosure Statement mailed to the U.S. Patent and Trademark Office on May 21, 2002, including such PTO-1449 Form listing 14 references thereon.

Enclosed in Appendix B hereof is a copy of the return postcard accompanying such Information Disclosure Statement, as date-stamped by the USPTO on May 24, 2002 evidencing receipt in the USPTO on such date of the Information Disclosure Statement and Form PTO-1449.

It therefore is requested that the PTO-1449 Form be initialed by the Examiner reflecting consideration of such references so that the Issue Fee can be paid consistent with the allowance of the application.

Respectfully submitted,

Steven J. Hultquist Reg. No. 28,021

Attorney for Applicants

INTELLECTUAL PROPERTY/ TECHNOLOGY LAW Phone: (919) 419-9350 Fax: (919) 419-9354 Attorney File No.: 4197-114

Enclosures:

Copy of IDS and PTO-1449 Form [3 pgs.] Copy of Return Postcard [1 pg.]

The USPTO is hereby authorized to charge any deficiency or creditany overpayment of fees properly payable for this document to Deposit Account No. 083284

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APPENDIX A

RECEIVED CENTRAL FAX CENTER

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:) Docket No.:	4197-114
Applicant:	Ralf-Uwe Bauer, et al.	Examiner:	Not Yet Assigned
Application No.:	10/088,751	Art Unit:	Not Yet Assigned
Date Filed:	March 20, 2002))	
Title:	METHOD FOR PRODUCING CELLULOSE SHAPED- BODIES)))	23448 PATENT & TRADEMARK OFFICE

FIRST CLASS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, and First Class Mailed under the provisions of 37 CFR 1.8.

May 21, 2002 Date of Mailing

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

	□ a.	I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
	□ b.	I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
	☐ c.	Attached is our check no in the amount of \$ in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
☐ 3.	date an	formation Disclosure Statement is being filed more than three months after the U.S. filing dafter the mailing date of a Final Rejection or Notice of Allowance, but before payment Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be